



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Akira Yamaguchi et al.

Serial No: 09/866,035

Filed: May 25, 2001

For: NONAQUEOUS ELECTROLYTE
SECONDARY BATTERY

Case No.: 09792909-5037

Group Art Unit: 1745

Mail Stop: DD
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is a Petition Under Rule 1.377 of Akira Yamaguchi et al. for the above-identified patent application entitled: NONAQUEOUS ELECTROLYTE SECONDARY BATTERY.

Enclosed are: Supplemental IDS Statement
Supplemental IDS Coversheet
Form 1449
References (26)
Transmittal Letter
Certificate of Mailing
Postcard

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140.

SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station
Sears Tower
Chicago, Illinois 60606-1080
Telephone: (312) 876-8000

Certificate of Mailing (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop: DD, Commissioner for Patents, Box 1450, Alexandria, VA 22313

Date of Deposit: June 4, 2003

Anna M. Franz 6-4-3
Anna M. Franz Date

PATENT

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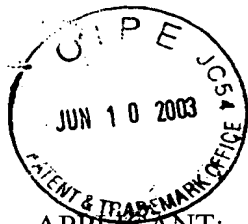
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Respectfully submitted,

By:

David R. Metzger
David R. Metzger
Registration No. 32,919



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Akira Yamaguchi et al.

ATTORNEY DOCKET NO:

09792909-5037

SERIAL NO. 09/866,035

GROUP ART UNIT: 1745

FILING DATE: May 25, 2001

EXAMINER: Unknown

INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

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**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

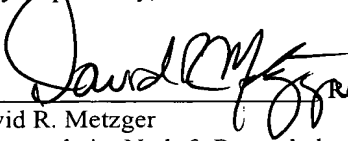
- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☐ Other - To be charged on our American Express Account. Credit Card Payment Form enclosed.

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

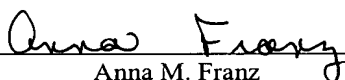
Very respectfully,

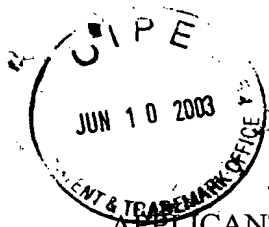
By  Reg. 32,919
 David R. Metzger
 Sonnenschein, Nath & Rosenthal
 P.O. Box 061080
 Wacker Drive Station, Sears Tower
 Chicago, Illinois 60606

When phoning re this application,
 please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to Mail Stop: DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 4, 2003.


 Anna M. Franz



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLICANT: Akira Yamaguchi et al.

ATTORNEY DOCKET NO:
09792909-5037

SERIAL NO. 09/866,035

GROUP ART UNIT: 1745

FILING DATE: May 25, 2001

EXAMINER: Unknown

INVENTION: "NONAQUEOUS ELECTROLYTE SECONDARY BATTERY"

Mail Stop: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
AA	5,882,821	Miyasaka	March 16, 1999
AB	5,677,083	Tomiyama	October 14, 1997
AC	5,169,736	Bittihn et al.	December 8, 1992
AD	5,712,059	Barker et al.	January 27, 1998

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AE	WO 01/84659	PCT	8 November 2001
AF	1113515	Europe	4 July 2001
AG	0997960	Europe	3 May 2000
AH	0851524	Europe	14 June 2000
AI	1089371	Europe	4 April 2001
AJ	2000021444	Japan	21 January 2000
AK	10247517	Japan	14 September 1998
AL	11073991	Japan	16 March 1999

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AM	* 11040194	Japan	12 February 1999
AN	\ 2001283906	Japan	12 October 2001
AO	\ 2000021442	Japan	21 January 2000
AP	\ 07-192759	Japan	28 July 1995
AQ	\ 07-302614	Japan	14 November 1995
AR	\ 11-339851	Japan	10 December 1999
AS	\ 2000106210	Japan	11 April 2000
AT	\ 11-283667	Japan	15 October 1999
AU	\ 2000058125	Japan	25 February 2000
AV	\ 11-067266	Japan	9 March 1999
AW	\ 11260401	Japan	24 September 1999
AX	\ 11-273726	Japan	8 October 1999
AY	\ 2000123867	Japan	28 April 2000
AZ	11-121032	Japan	30 April 1999

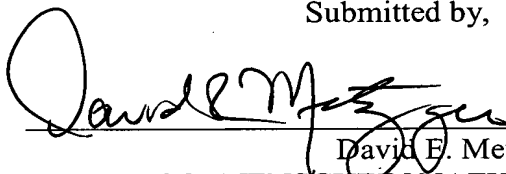
III. OTHER NON PATENT LITERATURE DOCUMENTS

NONE

REMARKS

References AA, AB, AC, AD, AE, AF, AG, AH, AI, AJ, AK, AL, AM, AN, AO, AP, AQ, AR, AS, AT, AU, AV, AW, AX, AY and AZ were cited in the European Patent Office Search Report of April 4, 2003 in counterpart European Patent Application No. 01112471.6. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. No. 32,919)

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